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PATENT  
Attorney Docket No. 022101-002800US  
Client Ref: 19026

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

On April 29, 2005

TOWNSEND and TOWNSEND and CREW LLP

By: Mark T. Davis  
Mark T. Davis

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Peter Vischer, et al.

U.S. Patent No. 6,872,566 B2

Application No.: 10/033,426

Filed: December 27, 2001

For: METHOD FOR PROCESSING A  
NUCLEIC ACID SAMPLE BY  
SWINGING A SEGMENT OF A  
CARTRIDGE WALL, A SYSTEM AND  
A CARTRIDGE FOR PERFORMING  
SUCH A METHOD

Customer No.: 41504

Examiner: Jeffrey Fredman

Art Unit: 1634

REQUEST FOR CERTIFICATE OF  
CORRECTION

**Certificate**  
**AUG 12 2005**  
**of Correction**

Sir:

Pursuant to 37 CFR 1.322, Applicant submits a Request for Certificate of Correction correcting printing errors made at the time of printing of the patent. The desired corrections are set forth on form PTO 1050, enclosed. Applicant also encloses a Notice of Recordation of Assignment in support of this request. No fee is required for this Certificate.

Respectfully submitted,

Kevin L. Eastman  
Kevin L. Eastman  
Reg. No. 34,744

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
(415) 576-0200  
Fax (415) 576-0300  
KLB:mtd 60477024 v1



(Also Form PTO-1050)

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

**PATENT NO.** : **6,872,566 B2**  
**DATED** : **March 29, 2005**  
**INVENTOR(S)** : **Peter Vischer et al.**

It is certified that errors appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the cover page, after (76) Inventors, kindly insert:

--(73) Assignee: Roche Molecular Systems, Inc., Alameda, CA.--

**MAILING ADDRESS OF SENDER:**

Kevin L. Bastian, Reg. No. 34,744  
Townsend and Townsend and Crew, LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, CA 94111-3834

60477064 v1

**PATENT NO. 6,872,566 B2**



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

1803-325-999

AUGUST 05, 2002

PTAS

Chief Information Officer  
Washington, DC 20231  
www.uspto.gov

PENNIE & EDMONDS LLP  
RAHUL PATHAK  
3300 HILLVIEW AVENUE  
PALO ALTO, CA. 94304



\*102115308A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/29/2002

REEL/FRAME: 012950/0033  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
VISCHER, PETER✓

DOC DATE: 03/20/2002

ASSIGNOR:  
AESCHILMANN, MARCEL✓

DOC DATE: 03/22/2002

ASSIGNEE:  
ROCHE MOLECULAR SYSTEMS, INC.✓  
1145 ATLANTIC AVENUE  
ALAMEDA, CALIFORNIA 94501

SERIAL NUMBER: 10033426✓  
PATENT NUMBER:

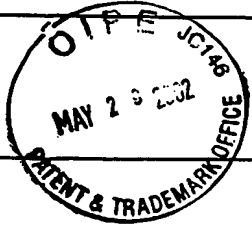
FILING DATE: 12/27/2001✓  
ISSUE DATE:

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ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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RPP-281A  
& DOCKETING CA OFFICE

06-07-2002

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Attorney Docket Number  
1803-325-999TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS  
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Washington, DC 20231

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

5-29-02

Peter Vischer and Marcel Aeschilmann

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: March 20, 2002 and March 22, 2002,  
respectively

2. Name and address of receiving party(ies):

Name: Roche Molecular Systems, Inc.Address: 1145 Atlantic AvenueAlameda, California 94501

Country (if other than USA):

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/033,426

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence  
concerning document should be mailed:PENNIE & EDMONDS LLP  
3300 Hillview Avenue  
Palo Alto, CA. 94304

6. Number of applications

and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00

Please charge to the deposit account listed in Section 8.

8. Deposit account number:

16-1150

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Rahul Pathak42,983[Signature]May 29, 2002

Name of Person Signing

Reg. No.

Date

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06/06/2002 LNUELLER 00000148 161150 10033426

01 FC:581

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## ASSIGNMENT

WHEREAS, WE, Peter Vischer and Marcel Aeschlimann, ASSIGNORS, citizens of Switzerland, residing at Seeburgstrasse 31, Kussnacht am Rigi, Switzerland CH-6403 and Dorfgrasse 65, Ligerz, Switzerland CH-2514, respectively, are the inventors of the invention in METHOD FOR PROCESSING A NUCLEIC ACID SAMPLE BY SWINGING A SEGMENT OF A CARTRIDGE WALL, A SYSTEM AND A CARTRIDGE FOR PERFORMING SUCH A METHOD for which we have executed an application for a Patent of the United States

- ☐ which is executed on ☐ even date herewith or ☐ \_\_\_\_\_  
☒ which is identified by Pennie & Edmonds LLP docket no. 1803-325-999  
☒ which was filed on December 27, 2001, Application No. 10/033,426

and WHEREAS, Roche Molecular Systems, Inc., 1145 Atlantic Avenue, Alameda, California 94501, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, said Inventors have executed and delivered this instrument to said Assignee the day and year below written.

Date 3-20-2002

Peter Vischer  
PETER VISCHER

Date 3-20-2002

Witness Heinz Koller

Date 3-20-2002

Witness Marcel Aeschlimann

Date 3-22-2002

Marcel Aeschlimann  
MARCEL AESCHILMANN

(Marcel Aeschlimann)

Date 3-22-2002

Witness André Klopferstein

(André Klopferstein)

Date 3-22-2002

Witness Carmen Wieland

(Carmen Wieland)

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KLB:mtd 60477024 v1

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**DATED** : **March 29, 2005**  
**INVENTOR(S)** : **Peter Vischer et al.**

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60477064 v1

**PATENT NO. 6,872,566 B2**

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1803-325-999

AUGUST 05, 2002

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PENNIE & EDMONDS LLP  
RAHUL PATHAK  
3300 HILLVIEW AVENUE  
PALO ALTO, CA. 94304



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VISCHER, PETER✓

DOC DATE: 03/20/2002

ASSIGNOR:  
AESCHILMANN, MARCEL✓

DOC DATE: 03/22/2002

ASSIGNEE:  
ROCHE MOLECULAR SYSTEMS, INC.✓  
1145 ATLANTIC AVENUE  
ALAMEDA, CALIFORNIA 94501

SERIAL NUMBER: 10033426✓  
PATENT NUMBER:

FILING DATE: 12/27/2001✓  
ISSUE DATE:

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ASSIGNMENT DIVISION  
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*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Rahul Pathak42,983[Signature]May 29, 2002

Name of Person Signing

Reg. No.

Date

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40.00 CH

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- ☐ which is executed on ☐ even date herewith or ☐ \_\_\_\_\_  
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Date 3-20-2002

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